

PTO/SB/21 (08-00)

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TRANSMITTAL C		Filing	Date	October 12, 2000	FFR 2			
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(to be used for all correspondence after initial filips)		Group	Art Unit	2131	Technology Cente			
The Access ARTS		Exami	ner Name					
Total Number of Pages	in This Submission	1	Attorne	ey Docket Number	019281-001000US			
ENCLOSURES (check all that apply)								
		ment Pap Application	nent Papers After Allowance Communic Opplication) Group		Communication to			
Fee Attached		☐ Drawin	g(s)	Appeal Communicat Appeals and Interfer				
Amendment / Res	ponse	_	ng-related		Appeal Communication to Group (Appeal-Notice, Brief, Reply Brief)			
After Final		Petition and Ac	Routing company	Slip (PTO/SB/69) ing Petition	Proprietary Inform	nation		
Affidavits/decla	Petition to Co Provisional A				Status Letter			
Extension of Time				ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request		al Disclaimer 1. Transmittal Form 2. PTO/SB 0: 5 attached references 3. Return P		-				
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Certified Copy of Priority Document(s) Remar			rks	The Commissioner is a Deposit Account 20-14	authorized to charge ar 130.	ny additional fees to		
Response to Missing Parts/ Incomplete Application								
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1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP								
and Individual name	Thomas D. Franklin	า		Reg. No	. 43,616			
Signature	or the second	7						
Date February 7, 2002								
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 7, 2002								
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Assistant Commissioner for Patents
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Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John J. Sie, Ganesh Basawapatna, Martin F. Sabraw, Gregory R. DePrez

Application No.: 09/687,148

Filed: October 12, 2000

For: PROGRAMMING DISTRIBUTION AND NOTIFICATION SYSTEM

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner:

Art Unit:

2131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

John J. Sie, Ganesh Basawapatna, Martin F. Sabraw, Gregory R. DePrez Application No.: 09/687,148

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 303-571-4000

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STATEMENT BY APPLICANT	First Named Inventor	Sie, John J.	Profes
TEAL	Group Art Unit	2131	ANCED.
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Attorney Docket Number

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)		Number Kind Code ²		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevante CEI Passages or Respective Figures Appear
	AA	5,534,941		Sie, et al.	07-09-1996	EFD 9 o		
	AB	6,314,572	B1	LaRocca, et al.	11-06-2001	FEB 2 8		
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	Cite No.1	Office ³	Number⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵
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Sheet

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.